

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * <b>Katz Avi S</b>		2. Issuer Name and Ticker or Trading Symbol <b>GigCapital, Inc. [GIG.U]</b>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>CEO, Pres, Exec Chairman</b>	
(Last) (First) (Middle) <b>C/O GIGCAPITAL, INC., 2479 E. BAYSHORE RD., SUITE 200</b>		3. Date of Earliest Transaction (Month/Day/Year) <b>10/11/2019</b>			
(Street) <b>PALO ALTO, CA 94303</b>		4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person	
(City) (State) (Zip)					

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/11/2019		J	(D)	91,347	D	(D)	2,321,660	I	By GigAcquisitions, LLC (see note below) (2)
Common Stock	10/11/2019		J	(D)	69,352	A	(D)	676,408	I	By GigFounders, LLC (see note below) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Katz Avi S C/O GIGCAPITAL, INC. 2479 E. BAYSHORE RD., SUITE 200 PALO ALTO, CA 94303	X	X	CEO, Pres, Exec Chairman	

GigAcquisitions, LLC C/O GIGCAPITAL, INC. 2479 E. BAYSHORE RD., SUITE 200 PALO ALTO, CA 94303		X		
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## Signatures

/s/ Avi S. Katz, individually		10/15/2019
**Signature of Reporting Person		Date
Avi S. Katz, as Manager of GigAcquisitions, LLC		10/15/2019
**Signature of Reporting Person		Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As reported by GigCapital, Inc. ("GigCapital") in its Current Report on Form 8-K as filed with the SEC on October 15, 2019 (the "Form 8-K"), in connection with the assumption of the obligation to fund additional extension note payments for the third and fourth extension, and the additional working capital needs of GigCapital, a portion of the Common Shares held by GigAcquisitions, LLC were transferred to the three other Founders (as defined in the Form 8-K), GigFounders, LLC, and certain other members of GigAcquisitions, LLC.

(2) The Common Stock is held directly by GigAcquisitions, LLC (the "Sponsor"). The Common Stock held by the Sponsor is beneficially owned by Dr. Avi S. Katz, GigCapital, Inc.'s Chief Executive Officer, President, Executive Chairman of the Board of Directors, and Secretary. Dr. Katz is also the Manager of the Sponsor, who has sole voting and dispositive power over all securities held by the Sponsor.

(3) The Common Stock is held directly by GigFounders, LLC ("GigFounders"). The Common Stock held by GigFounders is beneficially owned by Dr. Avi S. Katz. Dr. Katz is also the Managing Member of GigFounders, who has sole voting and dispositive power over all securities held by GigFounders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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